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Attorney's Docket No. 004728.P043

Patent

In re the Application of: Y. Chang et al.

(inventor(s))

Application No.: 09/779,295

Filed: February 7, 2001

For: METHOD OF IMPROVING FIELD EMISSION EFFICIENCY FOR FABRICATING CARBON
NANOTUBE FIELD EMITTERS

(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

 Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

 X No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 9	Minus	**11	0
Indep. Claims	* 1	Minus	***1	0
First Presentation of Multiple Dependent Claim(s)				

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X42	\$
+140	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0.00
X84	\$ 0.00
+280	\$
Total Add. Fee	\$ 0.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Box Non-Fee Amendment, Washington, D.C. 20231

On February 28, 2002

Date of Deposit

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Paige A. Johnson
Signature

2-28-02
Date

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

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X _____ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

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Date: 2.28.02

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(206) 292-8600

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP


_____ Linda S. Zachariah

Reg. No. 48,057

